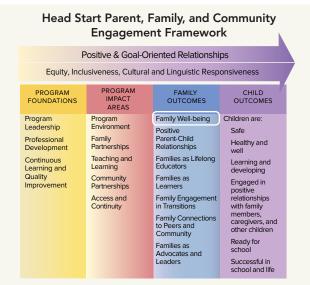
# Understanding Family Engagement Outcomes: Research to Practice Series



The National Center on Parent, Family, and Community Engagement (NCPFCE) has created a Research to Practice Series on the Family Engagement Outcomes of the Head Start Parent, Family, and Community Engagement (PFCE) Framework. One in the series, this resource addresses the "Family Well-being" Outcome: "Families are safe, healthy, have opportunities for educational advancement and economic mobility, and have access to physical and mental health services, housing and food assistance, and other family support services."

This resource presents a summary of selected research, program strategies, and resources intended to be useful for Head Start, Early Head Start, and other early childhood programs.



The Head Start PFCE Framework is an organizational guide for collaboration among families and Head Start and Early Head Start programs, staff, and community service providers to promote positive, enduring outcomes for children and families.

## Introduction

Head Start and Early Head Start have a long history of engaging families to enhance family and child outcomes. Programs have always made family and children's well being a priority, with a focus on comprehensive services that support the whole family. This resource builds on this commitment and offers a common understanding of what family well-being means for Head Start and Early Head Start families and children. When families are safe, healthy, and financially secure, they are more likely to reach the goals they have for themselves and their children.

Family well-being is one of a number of important outcomes for families. Through strengths-based partnerships with families, programs can support better outcomes for families and their children. Programs can focus on strong parent-child relationships by supporting the positive interactions they already see and sharing new ideas for activities at home. Program leadership can work with staff to create an environment where the strengths, hopes, and passions of families are valued and reinforced. Staff can encourage families to take leadership roles, share program governance, and take an active part in decision-making. When families want to become more actively involved in their own career development, programs can help families pursue opportunities for lifelong learning. When families are strong and secure and when family outcomes are enhanced, Head Start children are more likely to be healthy and ready for a lifetime of learning.

Families of all types can raise thriving children. This includes families with various caregiving structures, cultural beliefs, socioeconomic levels, faiths, home languages, and countries of origin. While all families have many strengths







and the ability to reach their goals, they can also face significant adversity. Many families of all backgrounds in the U.S. face challenges to well-being, including unemployment, poverty, high housing costs, food insecurity, community violence, limited education, and poor health. Each of these alone can cause family stress. When combined, negative effects on family well-being and child outcomes can be even greater (Vernon-Feagans & Cox, 2012; Brooks-Gunn, Duncan, & Maritato, 1999).

Head Start and Early Head Start programs can partner with families to:

- identify individualized safety, health, and financial goals,
- obtain needed information and education, and
- access supportive community resources.

Throughout this resource, family well-being refers to the safety, health, and financial security of the whole family. The safety sections focus on housing and neighborhood security. Personal and cultural safety issues are also discussed. The health section addresses food security, as well as parental physical and mental health. Financial security includes meeting basic needs, improving earning capacity, and saving and investing resources.\* This resource presents relevant research findings and highlights ways that Head Start and Early Head Start programs can take a strengths-based approach to support family well-being.



\* This resource is intended as an overview and should be considered as an introduction to the three topic areas.

# Family Well-being: What We Know

Young children learn and grow within the context of their family, early learning environments, community, and society (Bronfenbrenner, 1979).

Within Head Start and Early Head Start, families represent a wide range of cultures, languages, household structures, and living situations (HHS/ACF/OHS, 2013a). When families have secure housing, nutritious food, and access to health care, children tend to fare better in terms of their early development (Chazan- Cohen et al., 2009; Duncan & Magnuson, 2005; Fantuzzo, Leboeuf, Brumley, & Perlman, 2013; Mistry, Benner, Biesanz, Clark, & Howes, 2010; Ryu & Bartfeld, 2012). Neighborhood and community factors also interact to influence families and shape young children's development. For instance, the opportunity for social interactions and the availability of institutional resources such as libraries, community centers, and stores have a positive impact on family functioning and child development (Goldfeld et al., 2010).

## **Safety and Stability**

Family well-being is characterized, in part, by the overall safety and stability of family members. This can include housing stability, neighborhood security, and personal welfare, such as adult and child safety in the home and neighborhood.

Housing: Affordable, stable housing in a safe, supportive neighborhood is an important part of family wellbeing (Swick & Williams, 2006). Families experiencing homelessness report a loss of parental control, and are more likely to experience domestic or community violence and an increase in fear and anxiety (Swick & Williams, 2010, 2006). Housing instability is associated with less family engagement in children's early school-related activities and poor early school attendance. Children who experience homelessness during their infancy, toddler, or preschool years tend to have poorer early academic outcomes overall (Fantuzzo et al., 2013).

**Neighborhoods:** Neighborhood security contributes to family safety. Neighborhoods that are physically safe have resources that support family activities, promote health, and support community connections, such as parks, sidewalks or walking paths, libraries, and community centers (Kenney, 2012; Kingston, Huang, Calzada, Dawson-McClure, & Brotman, 2013). Neighborhood safety can foster important social opportunities for young children to:

- learn social customs,
- develop a sense of confidence in their communities, and
- build interpersonal relationships (Boethel, 2004).

On the other hand, unsafe neighborhoods tend to have inadequate physical infrastructure, more limited community resources, and offer fewer opportunities for family outings and play with peers (Kenney, 2012).

#### **Personal Safety**

**Child abuse and domestic violence:** Child abuse and domestic violence have been the focus of far more research than can be covered in this resource. Here are just a few of the many important findings:

- Exposure to violence and abuse can be considered a form of "toxic stress." This can interfere with early brain development, and can also lead to other negative short- and long-term consequences for children (Shonkoff et al., 2012).
- Violence between adult partners in the family is associated with a loss of parent-child closeness and increased child neglect (Nicklas & Mackenzie, 2013). This decrease in family members' ability to nurture their children can negatively impact children's behavior and health (English, Marshall, & Stewart, 2003).

**Unintentional injuries:** For young children, unintentional injuries are the leading cause of death among children ages one to five. Falls are the leading cause of non-fatal injuries in children birth to five (Hagan, Shaw, & Duncan, 2008). Younger children and children who live in poverty have higher rates of injury (Centers for Disease Control and Prevention, 2014). In the long term, adverse childhood safety experiences can add up, and have been linked to poor adult health outcomes and shortened life expectancy (Felitti et al., 1998).

**Cultural safety:** Cultural safety refers to environments and societal practices that recognize, respect, and honor the cultural identities of others (Williams, 1999). The harmful effects of racism experienced by people from non-dominant cultures can affect stress levels, health status, and even life expectancy (Chae et al., 2014). Cultural safety also refers to an approach to service delivery that respectfully engages families to select the most individually appropriate path to well-being (Ball, 2009).

#### Health

A key aspect of family well-being is the health of all family members. Health promotion and illness prevention depend on the availability of affordable, nutritious food, and access to a regular medical home. It also depends on oral health, mental health, and substance abuse treatment resources.

**Food Security:** Hunger is a reality for fifty million people in the U.S. and it is more common in households with children (Coleman-Jensen, Nord, & Singh, 2013). Low-income neighborhoods are less likely to have grocery stores. The food that is accessible is often not very healthy. Food insecurity, or poor access to nutritious, affordable food, can interfere with the whole family's health.

The health and mental development of young children is impacted by their families' access to sufficient, nutritious food (Zaslow et al., 2009). Persistent food insecurity can be especially damaging to children's long-term health outcomes (Ryu & Bartfeld, 2012).

Parental Health: Parental health status includes physical, oral, and mental health. All can impact children in the family. When any one family member experiences poor health and limited medical access, the effects on young children can include:

- an increased use of emergency care,
- missed well-child visits at the pediatrician's office, and
- greater incidences of health conditions, such as asthma (Hardie & Landale, 2013).

Parents with high levels of stress and depression can have more limited capacity to participate in positive parenting practices, such as affectionate, responsive parent-child interactions. This can have long-term effects on children's health and response to stressors (Meadows, McLanahan, & Brooks-Gunn, 2007).

Caregiving interactions also affect children's social and emotional competence. When parent-child relationships are primarily positive, children are more likely to have the skills they need to be successful in school (Sheridan, Knoche, Edwards, Bovaird, & Kupzyk, 2010).

**Health Care:** A medical home is a "one-stop shopping" health care resource that can:

- facilitate families' access to services,
- increase connections between community organizations and pediatric health services, and
- promote regular well-child care (Center for the Study of Social Policy, 2013; Coker, Thomas, & Chung, 2013).

Well-child care can help identify early intervention opportunities such as developmental delays and exposure to environmental toxins. It also offers families information about injury prevention and developmental expectations. Similarly, prenatal care for expectant mothers can provide children with a healthy start in life and reduce the risks of birth defects, low birth weight, prematurity, and miscarriage (Center for the Study of Social Policy, 2013).

Families without access to regular medical care face barriers to managing family health problems and are more likely to use emergency care (Hardie & Landale, 2013). Access can be especially limited for immigrant families. Almost 45% of recent immigrant children from families with low incomes lacked health insurance in 2009 (Wight, Thampi, & Chau, 2011).

Mental Health: Parents' mental health can affect children's outcomes, including their mental health (Bennett, Brewer, & Rankin, 2012). Mother's depression in pregnancy is associated with poor maternal nutrition and weight gain, as well as premature births, low birth weight, and attachment issues (Wisner et al., 2009). Depression can also directly impact parenting practices and families' abilities to nurture the kind of positive relationships with children that are needed to promote early learning and development (Waylen & Stewart-Brown, 2010). Maternal depression can lead to anxiety and depression in children, which can impact peer relationships and school readiness (Meadows et al., 2007). Because depression is closely linked to the stresses of poverty, housing instability, and unemployment, it tends to be more common in families with persistent financial challenges (Adler & Newman, 2002).

By providing families with strengths-based support, information, and referrals for treatment, programs can make a significant difference for parents suffering from depression and for their children, too. For more information, see Family Well-being: A Focus on Parental Depression, another resource in this series.

In addition to depression, other mental health issues—such as anxiety, mood disorders, trauma, and substance abuse—can impact family well-being and child outcomes. Over 2.2 million children between birth and five years are living with a parent with a substance use disorder. These children are at much higher risk for abuse and neglect as well asfor a variety of behavioral and academic challenges (ICF International, 2009; Mensah & Kiernan, 2010).

#### **Financial Security**

Financial security includes families' ability to

- earn an income to take care of basic living expenses,
- manage their money,
- pay debts,
- save money, and
- build assets.

**Income and Education:** Poverty can affect child outcomes. During early childhood, poverty is more damaging than it is later in a child's life and is related to lower long-term academic achievement, adult employment, and earningpower (Duncan & Magnuson, 2011).

Parents' educational levels are closely related to income and opportunity (Aud et al., 2013). The lack of a high school diploma affects family income and is a significant predictor of family and child outcomes. Early Head Start studies have found mothers' lack of a high school diploma to be a critical risk factor related to poor outcomes for children, along with single parenting, unemployment, level of poverty, and receiving public assistance (Ayoub et al., 2009; Vogel, Xue, Moiduddin, Kisker, & Carlson, 2010).

Increasing family income through employment and tax credits, particularly during early childhood, can improve children's academic achievement and adult learning (Duncan & Magnuson, 2011).

Increasing and stabilizing family income is related to improved child behaviors and mental health (Costello, Compton, Keeler, & Angold, 2003). Building family financial assets can positively affect children's long-term outcomes. For example, nearly three-quarters (71%) of children born to parents who have low incomes but are high-saving move up from the bottom income quartile over a generation. This is compared to only 50% of children of parents who are low-saving and have low incomes (Cramer, Brien, Cooper, & Luengo-Prado, 2009).

# **Supporting Family Well-being**

Challenges to family well-being can be especially difficult when they occur together and build up over time. When parents are overwhelmed, their hope and motivation may waver. Head Start and Early Head Start programs can use their unique two-generational model to re-energize families through their passion for their children. Programs can then help families develop strategies to protect or restore family well-being. Programs can also combine respectful, goal-oriented family partnerships with strong connections to community resource providers to support overall family well-being. This next section outlines practices that Head Start and Early Head Start programs can build upon to engage families around specific areas of family well-being.



#### Safety

## Housing, Neighborhood, and Community Safety:

Head Start serves more than 50,000 families experiencing homelessness and provides housing assistance referrals to approximately 105,000 households (HHS/ACF/OHS, 2013b). Fostering strong linkages between Head Start and Early Head Start programs and community building initiatives can improve families' housing stability and address neighborhood safety issues. Head Start and Early Head Start programs can support families' efforts to improve their communities by linking them with neighborhood watch programs and community leadership opportunities. These community linkages build relationships among families so that they know their neighbors, are less isolated, and give and receive help from each other (Goldfeld et al., 2010).

Community partnerships can help create and coordinate resource centers for housing assistance, job training, education, Englishlanguage learning, WIC, food banks, health care, and other services (Berlin, Brooks-Gunn, & Aber, 2001).

Personal Safety: Head Start and Early Head Start partnerships with providers in local child abuse and domestic violence agencies can be essential to support families and children who are in physical or emotional danger. Staff can also help families recognize safety hazards in their home based on their children's age or developmental level. Together, programs and families can complete home safety checks and obtain equipment such as window guards, stair gates, electrical outlet covers, cabinet locks, smoke alarms, carbon monoxide detectors, car seats, and other injury prevention items.

#### Health

**Food Security:** Head Start and Early Head Start serves as a protective factor by providing nutritious breakfasts, lunches, and snacks. Programs can also help families access community resources such as food pantries, food stamps, and WIC. Staff can partner with families to provide information and training on planning low-cost meals and maximizing nutrition on a budget.

Health Care: As part of their comprehensive services to children and families, Head Start and Early Head Start programs can supportfamilies to find a medical home where they can access prenatal services and establish ongoing physical and mentalhealth care for the whole family. In 2013, most Head Start and Early Head Start families had a regular medical home (93 percent) and health insurance (94 percent) for their children at enrollment (HHS/ACF/OHS, 2013b). Additionally, 7 percent of Head Start parents in a recent survey indicated that the program had helped them connect with regular health care (Aikens et al., 2010). Programs can offer training for families that teach practical health skills and empower them to set and achieve their own health goals (Herman, Nelson, Teutsch, & Chung, 2012, 2013).

By building relationships with health care providers in the community, Head Start and Early Head Start programs can make connections to health care providers who understand a family's cultural values and speak their language.

Mental Health: Head Start and Early Head Start provides family members with opportunities for social interactions and peer support. Early Head Start research also indicates that families do better in the long term when they see their children doing well (Vogel, Xue, Moiduddin, Kisker, & Carlson, 2010). Programs that recognize possible mental health disorders and substance abuse in Head Start and Early Head Start family members can help them access community supports and services. In many cultures, mental health issues carry a strong social stigma, so families may be reluctant to seek treatment. Programs can identify and link families with mental health supports that are culturally relevant. Head Start and Early Head Start mental health consultants can provide training on mental health topics and offer referral support.

#### **Financial Stability**

**Asset-Building Strategies:** Family asset-building focuses on educating families about financial opportunities that may help them increase and sustain economic security (Corporation for Enterprise Development, 2010; Tivol & Brooks, 2012). Asset-building can help families to

- receive public benefits,
- access safe, affordable financial products and services,
- utilize tax credits and free tax preparation,
- understand personal finance and budgeting,
- relieve debt and build or repair credit,
- save for an emergency or future goal, and
- invest in education, home ownership, or a business.

Head Start and Early Head Start programs can develop a network of community partners that provide asset-building services and collectively aim to support families' financial security. Programs can also develop partnerships with banks, community action agencies, or local cooperative extension offices that may specialize in certain asset-building strategies.

Adult Education and Training: Head Start and Early Head Start programs support family self-sufficiency by caring for children while parents participate in job skills training or attend school. In addition, programs can offer information to families about training and education opportunities in the community. By partnering with community organizations, educational institutions, and local workforce centers, Head Start and Early Head Start programs can help families identify opportunities that support their long-term earning potential.

# **Conclusion: Bringing It All Together**

Families experience well-being when all family members are healthy, safe, and financially secure. When families face challenges in one or more of these areas, their ability to support child outcomes and school readiness can be affected. Engaging families as active participants in problem solving can help family members identify and use their own strengths to address the challenges they face. Because Head Start and Early Head Start staff partner with families every day to raise resilient young children, they are in a unique position to link families to community supports, training, and information resources that can increase overall well-being.



# What Can Programs Do?

Well-being is a vast and complex family outcome. As a result, programs need to carefully plan and implement their approach to address the many different strengths and needs of the families they serve.

**Gather information:** The first step is to learn about the overall strengths and challenges of families in your Head Start or Early Head Start program. Programs can begin by reviewing self-assessments, program information report (PIR) data, and community assessments. The Family Partnership Process is another rich source of information about the kinds of issues families face, the goals they are ready to address, and the resources they already possess to do so. Asking families questions about successes and challenges on a regular basis is essential to decisionmaking about program priorities. Policy Council and parent committees will also have important information to share.

Staff who work with families, including teachers, family service workers, and home visitors, have valuable insights into what services are being provided, where gaps exist for families, and the strengths that families possess to overcome the challenges they face.

Programs can also work with community partners to collect data on the range of resources and challenges for family well-being in their communities. This data can then be used to engage community partners in planning supports for family well-being.

Once a program has assessed family, community, and program assets and needs, some of the following types of program work can be implemented in partnership with families.

Offer Individualized Support: Develop a program-wide approach to individualizing family support so you can partner with each family on their unique strengths and concerns. Some programs ask all families about their interests, hopes, challenges, and achievements at the beginning of the school year and at the end of the spring. Others use parent-teacher conferences or more informal interactions as opportunities to build relationships and identify individual family strengths and needs. Combined with the overall priorities identified in information gathering, knowing individual family priorities and strengths can help programs develop a plan of action for supporting each family's well-being.

#### Provide Targeted Information, Resources, and Training:

Once you understand both the overall and individual strengths and challenges of families within your program, you can collect the most appropriate resources and develop targeted training to join families where they are. Community and national organizations that have expertise in areas of health, safety, and financial security offer many useful tools and resources. Programs can use these resources to focus on planning and partnering, rather than creating new tools. Additionally, many of the resources and training that can support families may also be useful for staff's well-being.

Develop Community Partnerships: Many communities have a variety of organizations that are dedicated to housing, neighborhood safety, health, oral health, mental health, workforce development, education, and personal financial development. Head Start and Early Head Start grantees and programs can leverage their own role in the community to partner with local organizations to provide topical training and develop referral networks for families. In addition, free national resources exist that programs can access and provide to families.

# **Key Resources**

Head Start and Early Head Start programs can access a wealth of information online to address the complex, interrelated aspects of family wellbeing. National organizations offer a range of guidance, informational materials, curricula, and program ideas that focus on safety, health, and financial security. In addition, the Office of Head Start has developed resources specifically for Head Start and Early Head Start programs. To access these resources go to the Head Start Early Childhood Learning and Knowledge Center (ECLKC).

The following examples are not the only useful resources available but represent some good examples for programs to consider.

Programs can explore these resources to

- find information materials to share with families,
- identify training opportunities to bring to their sites, and
- create specific partnerships with community organizations.

#### Housing, Neighborhood, and Personal Safety

Interactive Homelessness Lessons https://eclkc.ohs.acf.hhs.gov/family-support-well-being/ article/supporting-children-families-experiencinghomelessness

National Center on Family Homelessness www.familyhomelessness.org

Neighborhood Safety Network www.cpsc.gov/en/Safety-Education/Neighborhood-Safety-Network

National Crime Prevention Council www.ncpc.org

Child Welfare Information Gateway www.childwelfare.gov

## **Family Health**

Breastfeeding (Office on Women's Health) www.womenshealth.gov/breastfeeding

National Center for Injury Prevention (Center for Disease Control)

www.cdc.gov/injury

National Center for Medical Home Implementation www.medicalhomeinfo.org

National Health Insurance Marketplace www.healthcare.gov

National Institute of Mental Health www.nimh.nih.gov

Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) www.fns.usda.gov/wic/women-infants-and-children-wic Substance Abuse and Mental Health Services Administration www.samhsa.gov

# Financial Security, Education, and Workforce Training

American Council on Education www.acenet.edu

Career One Stop www.careeronestop.org

Center for Working Families

http://www.aecf.org/work/economic-opportunity/center-for-working-families/

Federal Deposit Insurance Corporation (Money Smart Curriculum)

www.fdic.gov/consumers/consumer/moneysmart/

Financial Opportunity Centers http://www.lisc.org/our-initiatives/financial-stability/financialopportunity-centers/

GED Testing Service https://ged.com

National Community Tax Coalition https://tax-coalition.org

National Endowment for Financial Education (Financial Workshop Kits)

www.financialworkshopkits.org

Prosperity Now https://prosperitynow.org

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